

## Message Text

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PAGE 01 STATE 194506  
ORIGIN SCS-05

INFO OCT-01 EUR-12 ISO-00 L-03 JUSE-00 TRSE-00 SEC-01  
/022 R

DRAFTED BY SCA;SCS;RBOYLAN:JS  
APPROVED BY SCA;SCS;LPMULLIN  
-----061615 170558Z /15

R 162156Z AUG 77  
FM SECSTATE WASHDC  
TO AMEMBASSY ROME  
INFO AMCONSUL MILAN

UNCLAS STATE 194506

E.O. 11652: N/A

TAGS: CGEN

SUBJECT: JUDICIAL ASSISTANCE: FRANKLIN NATIONAL BANK

SECURITIES LITIGATION

REF: 76 ROME 8763 AND PREVIOUS

1. IN PREPARING FOR TRIAL IN ABOVE MATTER, SECURITIES AND EXCHANGE COMMISSION HAS LEARNED THAT POSSIBLY USEFUL INFORMATION HAS BEEN FILED BY ITALIAN PROSECUTORS IN CONNECTION WITH CRIMINAL CASE AGAINST MICHAEL SINDONA FOR HIS ALLEGEDLY CORRUPT RELATIONSHIP WITH AMINCOR BANK AND BANCA UNIONE, LATER CALLED BANCA PRAVADA FINANZIARA.

2. SEC ATTORNEYS ARE NOT SURE WHETHER THEY CAN HAVE ACCESS TO THESE MATERIALS AND IF SO, WHICH MATERIALS THEY NEED. EMBASSY REQUESTED TO DETERMINE WHETHER ITALIAN AUTHORITIES WOULD PERMIT SEC ATTORNEYS TO HAVE CERTIFIED COPIES OF MATERIAL FILED IN SINDONA CASE, AND IF SO, WHETHER THERE  
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IS ANY INDEX TO MATERIALS ALREADY FILED.

3. SEC WOULD ALSO LIKE TO HAVE COPY OF INTERVIEW WITH CARLO BORDONI IN MARCH 16, 1977 ISSUE OF I1 MONDO. ARTICLE AVAILABLE HERE, BUT ONLY THROUGH INTERLIBRARY LOAN WHICH WILL TAKE TWO WEEKS. PLEASE FORWARD COPY OF ARTICLE DIRECTLY TO MS. ELIZABETH BARNES, ENFORCEMENT BRANCH

NUMBER ONE, SEC, 26 FEDERAL PLAZA, NEW YORK, N.Y.

4. FYI: SINDONA IS SUBJECT OF ITALIAN REQUEST FOR JUDICIAL ASSISTANCE WHICH HAS BEEN PENDING FOR OVER NINE MONTHS NOW. PROBLEM IN COMPLETING REQUEST IS THAT MOST OF IT WAS OBTAINED BY GRAND JURY INVESTIGATING CRIMINAL ASPECTS OF CASE IN U.S. (S.E.C. CASE IS CIVIL). GRAND JURY PROCEEDINGS ARE REQUIRED BY U.S. LAW TO BE KEPT SECRET UNTIL TRIAL. VANCE

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## Message Attributes

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**Review Markings:**  
Margaret P. Grafeld  
Declassified/Released  
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